

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Ashley et al.**

§ Group Art Unit: **Unknown**

Serial No.: _____

§

§ Examiner: **Unknown**

Filed: _____

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§ Attorney Docket No.: **AUS920030621US1**

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For: **Method and system for a flexible
lightweight public-key-based mechanism for
the GSS protocol**

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

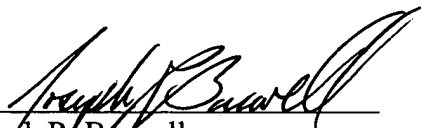
This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee or certification is required.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner.

In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. 1.56(a) exists.

Date: December 12, 2003

Respectfully submitted,



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U.S. DEPT. OF COMMERCE PATENT AND TRADEMARK OFFICE

Form PTO-1449 LIST OF PRIOR ART CITED BY APPLICANT <i>(Use several sheets if necessary)</i>			ATTORNEY DOCKET NO. AUS920030621US1		SERIAL NO.	
			APPLICANT Ashley et al.			
			FILING DATE		GROUP ART UNIT	

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/SUBCLASS	FILING DATE	

FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/SUBCLASS	TRANSLATION YES NO	

OTHER PRIOR ART <i>(including author, title, date, pertinent page, etc.)</i>	
	"Architecture for Public-Key Infrastructure (APKI)--Glossary", The Open Group, 1998.
	Linn, "Generic Security Service Application Program Interface", RFC 1508, Internet Engineering Task Force (IETF), 09/1993.
	Adams, "The Simple Public-Key GSS-API Mechanism (SPKM)", RFC 2025, IETF, 10/1996.
	Linn, "Generic Security Service Application Program Interface, Version 2", RFC 2078, IETF, 01/1997.
	Dierks et al., "The TLS Protocol, Version 1.0", RFC 2246, IETF, 01/1999.
	Eisler, "LIPKEY--A Low Infrastructure Public Key Mechanism Using SPKM", RFC 2847, IETF, 06/2000.

DATE CONSIDERED	EXAMINER
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.